



ASL INDUSTRIES LIMITED

Reg. Off: 7B, Punwani Chambers, Kiran Shankar Roy Road, Kolkata, West Bengal – 700 001
CIN: L36900WB1992PLC099901 **Phone No.:** +91 92346 77980; **Email:** cs@aslindustries.in
Website: www.aslindustries.in

Date: 20-04-2024

To
Executive Listing/Listing Department
SME Platform of National Stock Exchange
Exchange Plaza, Plot no. C/1, G Block,
Bandra-Kurla Complex, Bandra (E)
Mumbai - 400 051

Dear Sir/Madam,

Sub: SDD Compliance Certificate for the Quarter ended 31st March 2024

Ref: - Reg 3(5) and 3(6) of SEBI (PIT) Regulations, 2015

Pursuant to provisions of Regulation 3(5) and 3(6) of SEBI (Prohibition of Insider Trading) regulations, 2015, we hereby submit the SDD Compliance Certificate for the Quarter ended 31st March 2024.

A copy of the Compliance Certificate by a PCS is attached herewith.

Request you to kindly take the same on record.

Thanking You
Yours Faithfully
For, ASL Industries Limited

Simi Sen
Company Secretary

SHIKHA NAREDI & ASSOCIATES

PRACTICING COMPANY SECRETARY
FRN : S2020JH724800
GST NO. : 20AGFPN7721A1Z1
PEER REVIEW NO. : 1791/2022

COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED 31ST MARCH, 2024

(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)

I, Shikha Naredi, Practicing Company Secretary appointed by **ASL Industries Limited**, aware of the compliance requirement of Structured Digital Database ('SDD') pursuant to provisions of Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations) and I certify that:

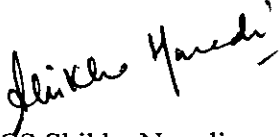
1. The Company has a Structured Digital Database in place;
2. Control exists as to who can access the SDD;
3. All the UPSI disseminated in the previous quarter also is being captured in the SDD;
4. The SDD has captured the nature of UPSI along with the date and time.
5. The database has been maintained internally and an audit trail is maintained.
6. The database is non-tamper able and has the capability to maintain the records for 8 years;

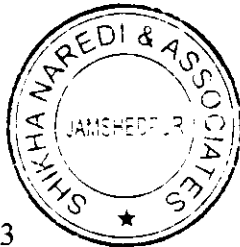
I also confirm that the Company was required to capture **NIL** No. of events the quarter that ended **31st March, 2024** and has captured **NIL** numbers of the said required events.

I would like to report that the following non-compliance(s) was observed in the previous quarter and the remedial action(s) taken along with timelines in this regard:

1. *The Company had not captured internal sharing of UPSI in its SDD Software. The Company has started to capture the same from 09-04-2024.*

For, Shikha Naredi & Associates
Practicing Company Secretary


CS Shikha Naredi
Proprietor
FCS: 12024 COP:16103
UDIN: F012024F000200806



Date: 20/04/2024
Place: Jamshedpur