

# SHIKHA NAREDI & ASSOCIATES

PRACTICING COMPANY SECRETARY  
FRN : S2020JH724800  
GST NO. : 20AGFPN7721A1Z1  
PEER REVIEW NO. : 1791/2022

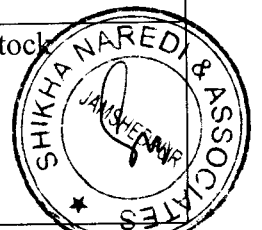
To  
The Board of Directors  
**ASL Industries Limited**  
Regd. Office: 1<sup>st</sup> Floor, Punwani Chambers,  
7B, Kiran Shankar Roy Road  
Kolkata-700001, West Bengal

Sir/Madam,

I have examined the relevant books, registers, forms, documents and papers produced before me by **ASL INDUSTRIES LIMITED** (CIN L36900WB1992PLC099901) ('The Company') and its registrars and Transfer Agents, **KFin Technologies Limited** (Formerly known as KFin Technologies Private Limited), in respect of Reconciliation of Share Capital Audit as per Regulation 76 of the Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018. To the best of my knowledge and according to the information and explanation given to me and as shown by the records examined by me, I certify the following:

## RECONCILIATION OF SHARE CAPITAL AUDIT REPORT

1.	For Quarter Ended	31-12-2022
2.	ISIN	INE617101024
3.	Face Value	Rs. 10/- per Equity Share (Fully Paid-up)
4.	Name of the Company	ASL Industries Limited
5.	Registered Office Address	1 <sup>st</sup> Floor, Punwani Chambers, 7B Kiran Shankar Roy Road, Kolkata-700001, West Bengal
6.	Correspondence Address	1 <sup>st</sup> Floor, Punwani Chambers, 7B Kiran Shankar Roy Road, Kolkata-700001, West Bengal
7.	Telephone No.: Fax No.:	033-22480150 --
8.	Email address	<a href="mailto:cs@aslindustries.in">cs@aslindustries.in</a>
9.	Names of the Stock Exchanges where the Company's securities are listed:	SME Platform of National Stock Exchange of India Limited



		Number of Shares	% of Total Issued Capital
10.	Issued Capital (Equity Only)	10417090	100%
11.	Listed Capital (Exchange-wise) SME Platform of National Stock Exchange of India Ltd	10417090	100%
12.	Held in dematerialized form in CDSL	9381090	90.05%
13.	Held in dematerialized form in NSDL	1036000	9.95%
14.	Physical	NIL	NIL
15.	Total No. of shares (12+13+14)	10417090	100%
16.	Reasons for difference if any, between (10 & 11), (10 & 15), (11 & 15)	NIL	

17. Certifying the details of changes in share capital during the quarter under consideration as per Table below:

Particulars	No. of Shares	Applied / Not applied for listing	Listed on Stock Exchanges (Specify Name)	Whether intimated to CDSL	Whether intimated to NSDL	In- prin, Appr. Pending for SE (Specify Names)
***						
No Changes						

\*\*\* Rights, Bonus, Preferential Issue, ESOPs, Amalgamation, conversion, Buyback, Capital Reduction, Forfeiture, any other (to specify)

18.	Register of Members is updated (Yes/ No)	Yes
	If not, updated up to which date	
19.	Reference of previous quarter with regards to excess dematerialized shares, if any.	Nil
20.	Has the Company resolved the matter mentioned in point 19 above in the current quarter? If not, reason why?	N.A.

21. Mentioned the total no. request, if any, confirmed after 21 days and total no. of request pending beyond 21 days with the reasons for delay:

Total No. of Demat Request	No. of Requests	No. of Shares	Reason for Delay
Confirmed after 21 days	Nil	Nil	N.A.

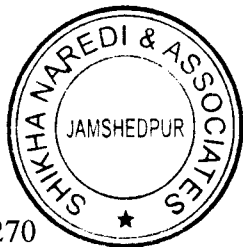


Pending for more than 21 days	Nil	Nil	N.A.
22.	Name, Telephone and Fax No. of the Compliance Officer of the Company	Ms. Simi Sen Compliance Officer Membership No. 36113 Date of Appointment: 01-Jun-2016 Phone No. 033-22480150 e-mail: cs@aslindustries.in	
23.	Name, Address, Tel. & Fax No., Reg. no. of CA/CS	Ms. Shikha Naredi Practising Company Secretary Phone No. 9931737989 E-mail: shikha.naredi@gmail.com FCS No.-12024 CP No.-16103	
24.	Appointment of Common Agency for share registry work (if yes, name and address)	KFin Technologies Limited (Formerly known as KFin Technologies Private Limited (Registrars & Transfer Agent) <b>SEBI Registration No.: INR000000221</b> Address: Selenium Tower B, Plot Nos. 31 & 32   Financial District-Nanakramguda   Serilingampally   Hyderabad   Rangareddi, Telangana - 500032 Website: <a href="http://www.kfintech.com">www.kfintech.com</a> , Email: <a href="mailto:reachus@kfintech.com">reachus@kfintech.com</a> Ph. No.: 040- 6716 2222	
25.	Any other detail that the Auditor may like to provide (e.g. BIFR Company, Delisting from SE, Company changed its Name etc.	<b>This report is based on the documents received online from the RTA.</b>	

M/s. Shikha Naredi & Associates  
Practising Company Secretary

*Shikha Naredi*  
CS Shikha Naredi

Proprietor  
Membership No. F12024  
CoP: 16103  
UDIN: F012024D002953270



Place: Jamshedpur  
Date: 12-01-2023