



ASL INDUSTRIES LIMITED

Reg. Off: 7B, Punwani Chambers, Kiran Shankar Roy Road, Kolkata, West Bengal – 700 001.
CIN: L36900WB1992PLC099901 **Phone No.:** +91 92346 77980; **Email:** cs@aslindustries.in
Website: www.aslindustries.in

To
Executive Listing/Listing Department
SME Platform of National Stock Exchange
Exchange Plaza, Plot no. C/1, G Block,
Bandra- Kurla Complex, Bandra (E)
Mumbai - 400 051

Sub: Submission of Certificate under Regulation 40(9) of SEBI (listings Obligations and Disclosure Requirement) Regulations,2015 for the year ended 31st March,2024

Dear Sir/Madam,

Pursuant to regulation 40(9) of SEBI (listings Obligations and Disclosure Requirement) Regulations,2015, for the year ended 31st March,2024. We hereby submit certificate.

You are requested to take the above information on record.

Thanking You

Yours Faithfully
For, ASL Industries Limited

Simi Sen
Company Secretary

Date: 10-04-2024
Place: Jamshedpur

SHIKHA NAREDI & ASSOCIATES

PRACTICING COMPANY SECRETARY
FRN : S2020JH724800
GST NO. : 20AGFPN7721A1Z1
PEER REVIEW NO. : 1791/2022

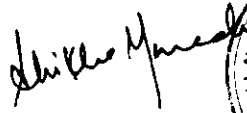

CERTIFICATE UNDER REGULATION 40 (9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

FOR THE YEAR ENDED 31st MARCH 2024

I have examined all share transfer deeds, memorandum of transfers, registers, files and other documents pertaining to transfer, sub-division, consolidation, renewal, exchange, endorsements of calls/allotment monies, as the case may be, of the equity shares of **ASL INDUSTRIES LIMITED (CIN: L36900WB1992PLC099901)** ('the Company') maintained by **KFin Technologies Limited** (formerly known as *KFin Technologies Private Limited*) Registrar and Share Transfer Agent, having its registered office at Selenium, Tower B, Plot No- 31 & 32, Financial District, Nanakramguda, Serilingampally Hyderabad Rangareddi Telangana- 500032, for the period **1st April 2023 to 31st March, 2024**, for the purpose of issuing a certificate as per Regulation 40 (9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company, I hereby certify that :

- A. the Company has not received any request for issuance of duplicate share certificate/split/consolidation from the shareholder(s) for the period from **1st April 2023 to 31st March, 2024**.
- B. The Company has not received any valid share transfer/transmission/deletion request during the period **1st April, 2023 to 31st March, 2024**.

M/s. Shikha Naredi & Associates
(Practising Company Secretary)



CS Shikha Naredi
FCS:12024 CoP: 16103
UDIN NO.: F012024F000076220

Place: Jamshedpur
Date: 10-04-2024

Dated 04.04.2024

To,

THE COMPANY SECRETARY
ASL INDUSTRIES LIMITED
PUNWANI CHAMBERS, 1ST FLOOR
7- B, KIRON SHANKAR ROY ROAD,
KOLKATA

700001

Dear Sir/Madam,

In compliance of Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we hereby confirm that:

1. During the period from 01.04.2023 to 31.03.2024, there were no cases received relating to Transfer including relodgement request, hence compliance of Regulation 40(9) with respect to issuance of share certificates in physical form did not arise for transfer (relodgement) of equity shares; and
2. During the period from 01.04.2023 to 31.03.2024, Certificates/letter of confirmations in respect of request received for issue of duplicate/exchange/split/consolidation/renewal/endorsement of calls/ allotment monies as the case may have been issued within one month of lodgment/ relodgement and duly complied with the Regulations.

Thanking you,

Yours faithfully,
For Kfin Technologies Limited



Ganesh Chandra Patro
Asst. Vice President

KFin Technologies Limited

(Formerly known as KFin Technologies Private Limited)

Registered & Corporate Office:

Selenium, Tower B, Plot No- 31 & 32, Financial District, Nanakramguda,
Serilingampally Hyderabad Rangareddi, Telangana – 500032, India

CIN : U72400TG2017PLC117649